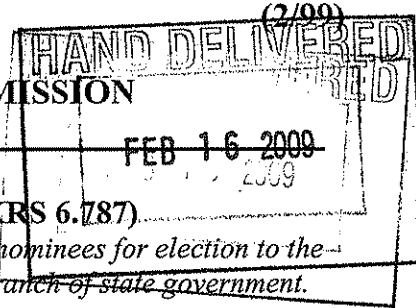


OK-7

KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name David W. Osborne  
Business address P.O. Box 8, Prospect, KY 40059  
Business telephone 502-645-7334  
Home address P.O. Box 219, Prospect, KY 40059  
Title of public position, or office sought STATE Representative  
Other occupations of filer Real Estate, FARMER  
Occupations of spouse Public Relations

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Owner - David W. Osborne, Inc.; Osborne Farm, Inc.; Partner - Covered Bridge Realty; Deerfield Farm, LLC; Loosen Up Partners; Mimicy Partnership; Barbour Lane Properties. Realtor - H. Barry Smith Co.

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Owner - Loren C. Hebel-Osborne, Inc.; Hebel Eugene, Inc. Partner - Charco Family Limited Partnership, Mimicy Partnership

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more See Attached

Sources and form of gross income of the filer (list sources by name) Owner - David W. Osborne, Inc.; Osborne Farm, Inc.; Real Estate Commissions; Partner Share - Covered Bridge Realty; Deerfield Farm, LLC; Mimicry Partnership; Loosen Up Partners; INTEREST INCOME; DIVIDEND INCOME - SEE ATTACHED; Legislative Salary

Sources and form of gross income of the filer's spouse (list sources by name) Owner Proceeds - Loren C. Hebel-Osborne, Inc (CLIENTS - VISA, TRIPLE CROWN PRODUCTIONS; WOODFORD RESERVE STABLES.); HEBEL Equine, Inc; Mimicry Partnership; CHARCO

Positions of a fiduciary nature in a business President & Chairman - Pegasus Equine Retirement Foundation - Non-Profit - No Compensation

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children Farm Land - OLDHAM, SHELBY, TRIMBLE COUNTIES

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) NONE

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) CHARLES W. HEBEL, JR.; JP Morgan Chase bank, N.A.; MORGAN STANLEY

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of any of the filer's clients who are legislative agents or employers  
Woodford Reserve Stables (SUBSIDIARY OF BROWN-FORMAN) CLIENT  
OF spouse's Corporation

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/12/09  
Date

  
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

## **Attachment - Statement of Financial Disclosure**

### **Names and addresses of all businesses, investments, or securities...**

David W. Osborne, Inc., P.O. Box 219, Prospect, KY 40059  
Osborne Farm, Inc., P.O. Box 219, Prospect, KY 40059  
Deerfield Farm, LLC  
Loren C. Hebel-Osborne, Inc., P.O. Box 219, Prospect, KY 40059  
Covered Bridge Realty, Ltd. Part., P.O. Box 219, Prospect, KY 40059  
Loosen Up Partners, P.O. Box 219, Prospect, KY 40059  
Hebel Equine, Inc., P.O. Box 219, Prospect, KY 40059  
Mimicry Partnership, P.O. Box 219, Prospect, KY 40059  
Charco Family Ltd. Partnership, 5806 Orion Road, Louisville, KY 40222  
Charlynn, Inc., 5806 Orion Road, Louisville, KY 40222  
Osborne Brothers Real Estate Partership, P.O. Box 219, Prospect, KY 40059  
Barbour Lane Properties, LLC, P.O. Box 219, Prospect, KY 40059

### **Marketable Securities in excess of \$10,000**

BB&T Corporation - Common Stock  
Anheuser-Busch – (Now Privately held) -Common Stock  
National City Corp. (Now PNC Bank) - Common Stock  
PepsiCo, Inc. - Common Stock  
Brown-Forman - Common Stock  
Cisco Systems - Common Stock  
General Electric - Common Stock  
JP Morgan Chase - Common Stock  
Kraft - Common Stock  
McDonalds - Common Stock  
Altria, Inc. - Common Stock  
Phillip Morris International – Common Stock  
Microsoft - Common Stock  
PNC Financial - Common Stock  
Sysco Foods - Common Stock  
Visa – Common Stock  
Yum Brands - Common Stock

### **Common Stock Dividends**

BB&T Corporation  
Anheuser-Busch  
Churchill Downs, Inc.  
National City Corp.  
PepsiCo, Inc.  
Yum Brands, Inc  
Brown-Forman  
General Electric  
JP Morgan Chase  
Kraft  
McDonalds  
Altria, Inc.  
Phillip Morris International  
Microsoft  
PNC Financial  
Sysco Foods  
Washington Mutual  
Morgan Stanley  
Visa

